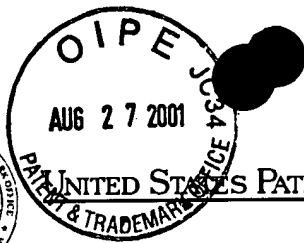


#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/851,367	05/08/2001	Glenn Eric Bailey	ZL327/01008

CONFIRMATION NO. 2375

22884
MIDDLETON & REUTLINGER
2500 BROWN & WILLIAMSON TOWER
LOUISVILLE, KY 40202

FORMALITIES LETTER



OC000000006269855

Date Mailed: 07/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$374.
 - \$54 for 3 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1214.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/30/2001 SSESHE1 00000099 09851367

01 FC:101	710.00 OP
02 FC:103	234.00 OP
03 FC:102	480.00 OP
04 FC:105	130.00 OP

A copy of this notice MUST be returned with the reply.

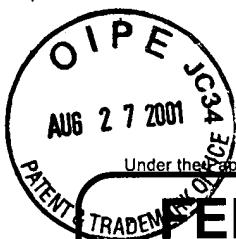
A handwritten signature in black ink, appearing to read "Michael R. [unclear]", is written over a horizontal line.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09851367 082704



FEE TRANSMITTAL
for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**1,594.00**

Compleat if Known

Application Number	09/851,367
Filing Date	05/08/2001
First Named Inventor	Bailey, Glenn Eric
Examiner Name	
Group Art Unit	2875
Attorney Docket No.	ZL327-01008

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																												
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <input type="text"/></p> <p>Deposit Account Name <input type="text"/></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input checked="" type="checkbox"/> Payment Enclosed:</p> <p><input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other</p>	<p>3. ADDITIONAL FEES</p> <table><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130 205 65</td><td></td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr><tr><td>127 50 227 25</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr><tr><td>139 130 139 130</td><td></td><td>Non-English specification</td><td></td></tr><tr><td>147 2,520 147 2,520</td><td></td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr><tr><td>112 920* 112 920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr><tr><td>113 1,840* 113 1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr><tr><td>115 110 215 55</td><td></td><td>Extension for reply within first month</td><td></td></tr><tr><td>116 390 216 195</td><td></td><td>Extension for reply within second month</td><td></td></tr><tr><td>117 890 217 445</td><td></td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,390 218 695</td><td></td><td>Extension for reply within fourth month</td><td></td></tr><tr><td>128 1,890 228 945</td><td></td><td>Extension for reply within fifth month</td><td></td></tr><tr><td>119 310 219 155</td><td></td><td>Notice of Appeal</td><td></td></tr><tr><td>120 310 220 155</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr><tr><td>121 270 221 135</td><td></td><td>Request for oral hearing</td><td></td></tr><tr><td>138 1,510 138 1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr><tr><td>140 110 240 55</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr><tr><td>141 1,240 241 620</td><td></td><td>Petition to revive - unintentional</td><td></td></tr><tr><td>142 1,240 242 620</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 440 243 220</td><td></td><td>Design issue fee</td><td></td></tr><tr><td>144 600 244 300</td><td></td><td>Plant issue fee</td><td></td></tr><tr><td>122 130 122 130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>123 50 123 50</td><td></td><td>Processing fee under 37 CFR 1.17(q)</td><td></td></tr><tr><td>126 180 126 180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr><tr><td>581 40 581 40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td>40.00</td></tr><tr><td>146 710 246 355</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr><tr><td>149 710 249 355</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr><tr><td>179 710 279 355</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>169 900 169 900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr><tr><td colspan="4">Other fee (specify) _____</td></tr><tr><td colspan="3">SUBTOTAL (3)</td><td>(\$) 170.00</td></tr></tbody></table>	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130 205 65		Surcharge - late filing fee or oath	130.00	127 50 227 25		Surcharge - late provisional filing fee or cover sheet		139 130 139 130		Non-English specification		147 2,520 147 2,520		For filing a request for <i>ex parte</i> reexamination		112 920* 112 920*		Requesting publication of SIR prior to Examiner action		113 1,840* 113 1,840*		Requesting publication of SIR after Examiner action		115 110 215 55		Extension for reply within first month		116 390 216 195		Extension for reply within second month		117 890 217 445		Extension for reply within third month		118 1,390 218 695		Extension for reply within fourth month		128 1,890 228 945		Extension for reply within fifth month		119 310 219 155		Notice of Appeal		120 310 220 155		Filing a brief in support of an appeal		121 270 221 135		Request for oral hearing		138 1,510 138 1,510		Petition to institute a public use proceeding		140 110 240 55		Petition to revive - unavoidable		141 1,240 241 620		Petition to revive - unintentional		142 1,240 242 620		Utility issue fee (or reissue)		143 440 243 220		Design issue fee		144 600 244 300		Plant issue fee		122 130 122 130		Petitions to the Commissioner		123 50 123 50		Processing fee under 37 CFR 1.17(q)		126 180 126 180		Submission of Information Disclosure Stmt		581 40 581 40		Recording each patent assignment per property (times number of properties)	40.00	146 710 246 355		Filing a submission after final rejection (37 CFR § 1.129(a))		149 710 249 355		For each additional invention to be examined (37 CFR § 1.129(b))		179 710 279 355		Request for Continued Examination (RCE)		169 900 169 900		Request for expedited examination of a design application		Other fee (specify) _____				SUBTOTAL (3)			(\$) 170.00
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	John F. Salazar	Registration No. (Attorney/Agent)	39,353
Signature	<i>John F. Salazar</i>	Telephone	(502) 584-1135
		Date	8/28/01

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#1 \$Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Applicati n Number	09/851,367	
	Filing Date	05/08/2001	
	First Named Inventor	Bailey, Glenn Eric	
	Group Art Unit	2875	
	Examiner Name		
Total Number of Pages in This Submission	33	Attorney Docket Number	ZL327-01008

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS statement under 1.97(b) cited references postcard
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	John F. Salazar, Reg. No. 39,353
Signature	
Date	8/28/01

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mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date

Typed or printed name Pamela J. Hogan

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Date

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